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PUBLIC RECORDS

Purpose of Amendment (describe the reason for amending original submission): Ethics requested this form, as it was not included in the original submission


(Signature of Traveler)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): The Aspen Institute (Aspen Ideas: Health),
The Commonwealth Fund
2. Description of the trip: The Aspen Ideas: Health is the flagship annual conference event of the Aspen
Institute, to discuss health, medicine and healthcare policy.
3. Dates of travel: June 20-23, 2019
4. Place of travel: Aspen, Colorado
5. Name and title of Senate invitees: Stuart Portman, Health Policy Advisor, Finance Committee
6. I *certify* that the trip fits one of the following categories:
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
=OR=
☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
=AND=
☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
=AND=
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

OR

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

OR

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Aspen Institute hosts the Aspen Ideas: Health conference (formerly Spotlight Health) annually. The Institute plans, organizes and conducts Aspen Ideas: Health, including the programming, production and speaker and scholar logistics. See continued response attached.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Aspen Institute is an educational and policy studies non-profit organization. Its mission is to foster leadership based on enduring values and provide a nonpartisan forum for the exchange of ideas and dealing with critical issues. See continued response attached.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

The Aspen Institute has a nearly forty-year history of conducting non-partisan educational forums, which include congressional trips. For almost twenty years, the Commonwealth Fund has hosted and invited Members of Congress to the Bipartisan Congressional Health Policy Conference.

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15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The Aspen Institute and the Commonwealth Fund hold numerous educational activities annually, including educational briefings, roundtables, forums and conferences for a diverse range of attendees, including federal and state policymakers, business and organization leaders, education and others.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$950	\$597 (\$199/night)	Breakfast and lunch included in conference registration pass; \$128 (dinner)	\$2000 conference registration pass

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

a)The trip involves an event that is arranged or organized without regard to congressional participation

18. Reason for selecting the location of the event or trip

The Aspen Institute's campus and conference center in Aspen, Colorado is home to Aspen Ideas: Health and Aspen Ideas Festival

19. Name and location of hotel or other lodging facility:

Viceroy Hotel, Snowmass

130 Wood Road, Snowmass Village, Colorado

20. Reason(s) for selecting hotel or other lodging facility:

Hotel can accommodate Aspen Ideas: Health scholars with close proximity to the Aspen Institute campus. It also offers safety and security for participants and shuttle service back and forth from Aspen Ideas: Health conference.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The lodging rate and other expenses (meal) exceed the per diem rate for Pitkin County, Colorado.

The hotel was the most affordable option to house the scholars. The meals are included in the conference meeting package negotiated with Aspen Meadows Resort for all Aspen Ideas: Health attendees.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach airfare.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:



Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Programs

Name of Organization: The Aspen Institute

Address: 2300 N St. NW, Suite 700, Washington D.C. 20037

Telephone Number: 202-736-5859 (Lisa Jones, Deputy General Counsel)

Fax Number: 202-467-0790

E-mail Address: Lisa.Jones@aspeninst.org

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PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
SIGNATURE PAGE FOR ADDITIONAL SPONSOR
(to be completed by each additional sponsor)

I hereby *certify* that the information contained on pages 1-4 of the certification form and any accompanying addenda, all submitted in connection with the 06/20/19 - 06/23/19 trip
to Aspen, Colorado is true, complete, and correct.
Dates of Travel (Month Day, Year)
Place of Travel

Signature of Travel Sponsor: Rachel Nuzum
Name and Title: Rachel Nuzum, Vice President, Federal and State Health Policy
Name of Organization: The Commonwealth Fund
Address: 1666 K Street, NW Suite 1100 Washington, DC 20006
Telephone Number: 202-292-6722
Fax Number: _____
E-mail Address: RN@CMWF.org

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Private Sponsor Travel Certification Form Attachment
The Aspen Institute, Inc.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Commonwealth Fund is a non-partisan private foundation that supports independent research on health and social issues and makes grants to improve health care practice and policy. The Commonwealth Fund is a sponsor of Aspen Ideas: Health and of related policy scholarships to help bring a diverse group of health policy experts to the Aspen Ideas: Health conference. The Commonwealth Fund has contributed its expertise on health issues to the Aspen Ideas: Health programming.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

Aspen Ideas: Health is a public program of the Aspen Institute. Aspen Ideas: Health embraces the Institute's mission by providing a non-partisan forum on health and medicine issues and policy to foster learning and encourage thoughtful dialogue. One of the goals of the Commonwealth Fund is to connect policymakers at the federal and state level to objective, evidence-based, and timely health policy research and analysis. By sponsoring policy scholars at Aspen Ideas: Health conference, the Commonwealth Fund is connecting the scholars to health systems leaders and innovators in both the public and private sector with the expectation that this will help inform their work and professional learning and that they will contribute their own policy contributions to Aspen Ideas: Health.

16. Total Expenses for Each Participant:

Lodging Expenses: The lodging expenses includes shuttle transportation service between the Viceroy Snowmass and the Aspen Ideas: Health Conference at the Aspen Meadows Resort. This was the most affordable rate available to accommodate the 100 Aspen Ideas: Health Scholars during this time period.

Meal Expenses: Included in the Other Expenses below.

Other Expenses: The \$2000 conference registration pass is the all-inclusive ticket price for a member of the public to purchase an individual ticket to attend Aspen Ideas: Health conference; however, the registration pass price is waived for all scholars and speakers attending Aspen Ideas: Health. The registration pass price includes a per day meeting package cost of \$200 which includes Aspen Meadows Resort conference hosting fees, facilities setup and breakdown, IT, staffing, enhanced security, **food and non-alcoholic refreshments for breakfast, lunch, snacks, reception.** The registration pass price also includes facilities costs for non-Aspen Meadows Resort venues utilized during Aspen Ideas: Health and shuttle service to and from such venues and additional equipment rental (tents, chairs).

21. Describe how the daily expenses for lodging, meals and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The lodging rate and the other expenses (meal) exceeds the per diem rate for Pitkin County, Colorado. The hotel was chosen without regard to Congressional participation. The rate was the most affordable

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option to accommodate the 100 scholars attending Aspen Ideas: Health during this time period (and note: this hotel is not in Aspen, Colorado; it is located outside of Aspen city limits in Snowmass, Colorado). This is an incredibly busy month in Aspen and the hotel prices reflect the volume of visitors to the Ideas Festival and to Aspen in general. As noted above, the lodging expenses also includes shuttle transportation services to transport the Aspen Ideas: Health Scholars to and from Snowmass to the Aspen Institute at the Aspen Meadows Resort, where the Aspen Ideas: Health Conference is held.

As noted above, the meal expenses (breakfast, lunch, snacks) are included in the per day meeting cost of \$200; this was the negotiated per day meeting rate for the Aspen Ideas: Health Conference to be held at the Aspen Meadows Resort for the fourth year. The rate was negotiated for all participants of the Conference, without regard to congressional participation; all participants (attendees, speakers, scholars) to the Conference are provided the same meals at the Meadows.